

# Frontal Lobe Function and Dysfunction



# Core deficits associated with PFC

- Motor Planning, Gaze, Speech
- Loss of divergent thinking
- Impaired response inhibition and inflexible
- Inability to manage delay
- Impaired social behaviour
- Personality change

# The Frontal Lobes

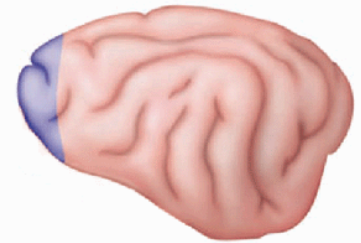
- Comprise about 1/3 of the cerebral cortex
- Present in all mammalian species
- Have undergone tremendous evolutionary expansion
- Associated with the expansion of cognitive abilities

# Evolution of the Frontal Lobes

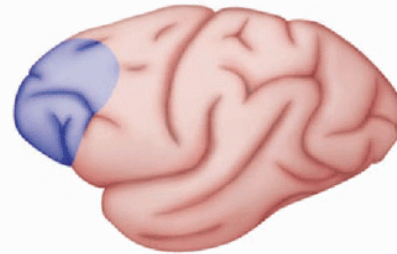
- Ratio of Frontal Lobe to Brain largest in humans
- This ratio considerably smaller in other species
- Associated with cognitive ability



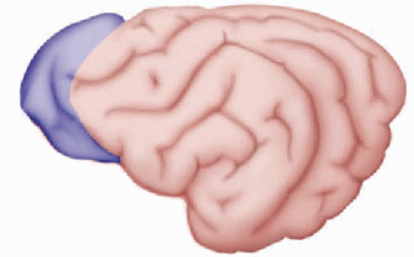
Squirrel monkey



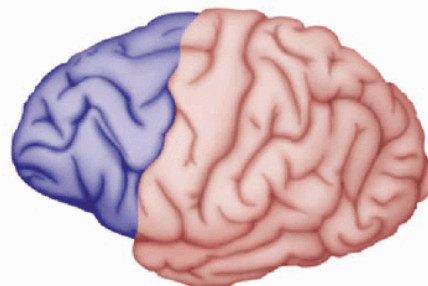
Cat



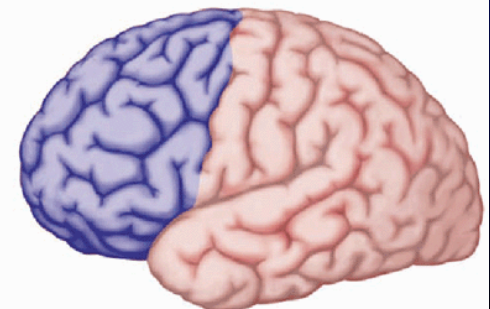
Rhesus monkey



Dog

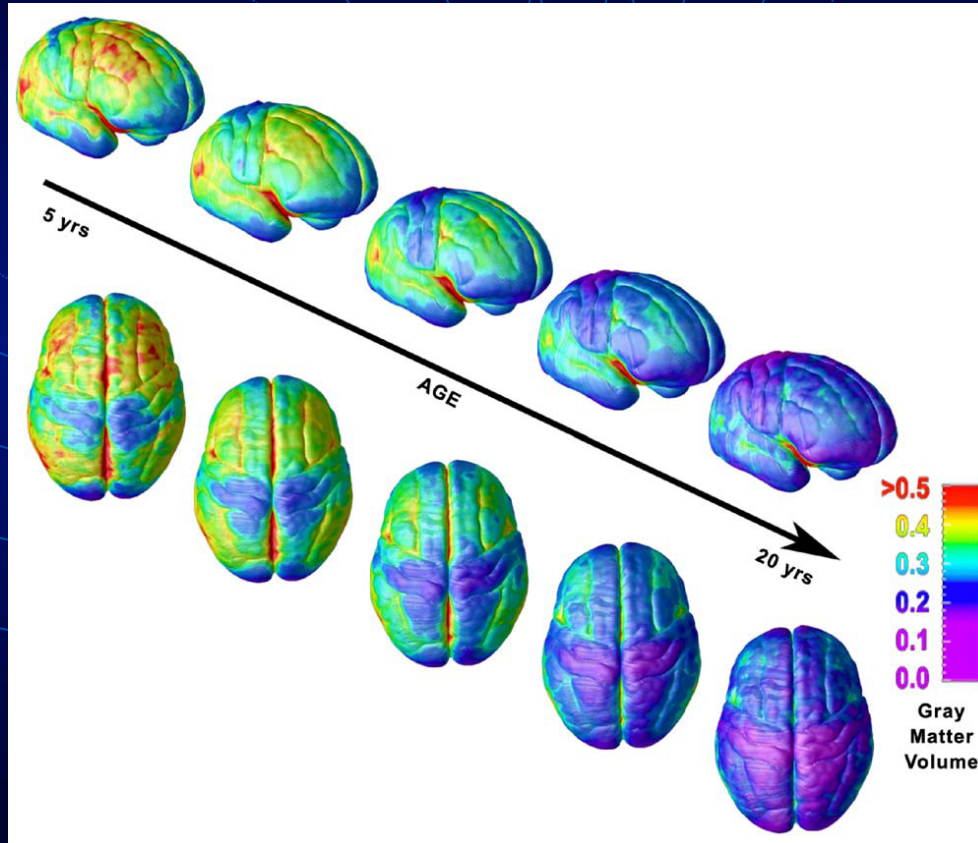


Chimpanzee



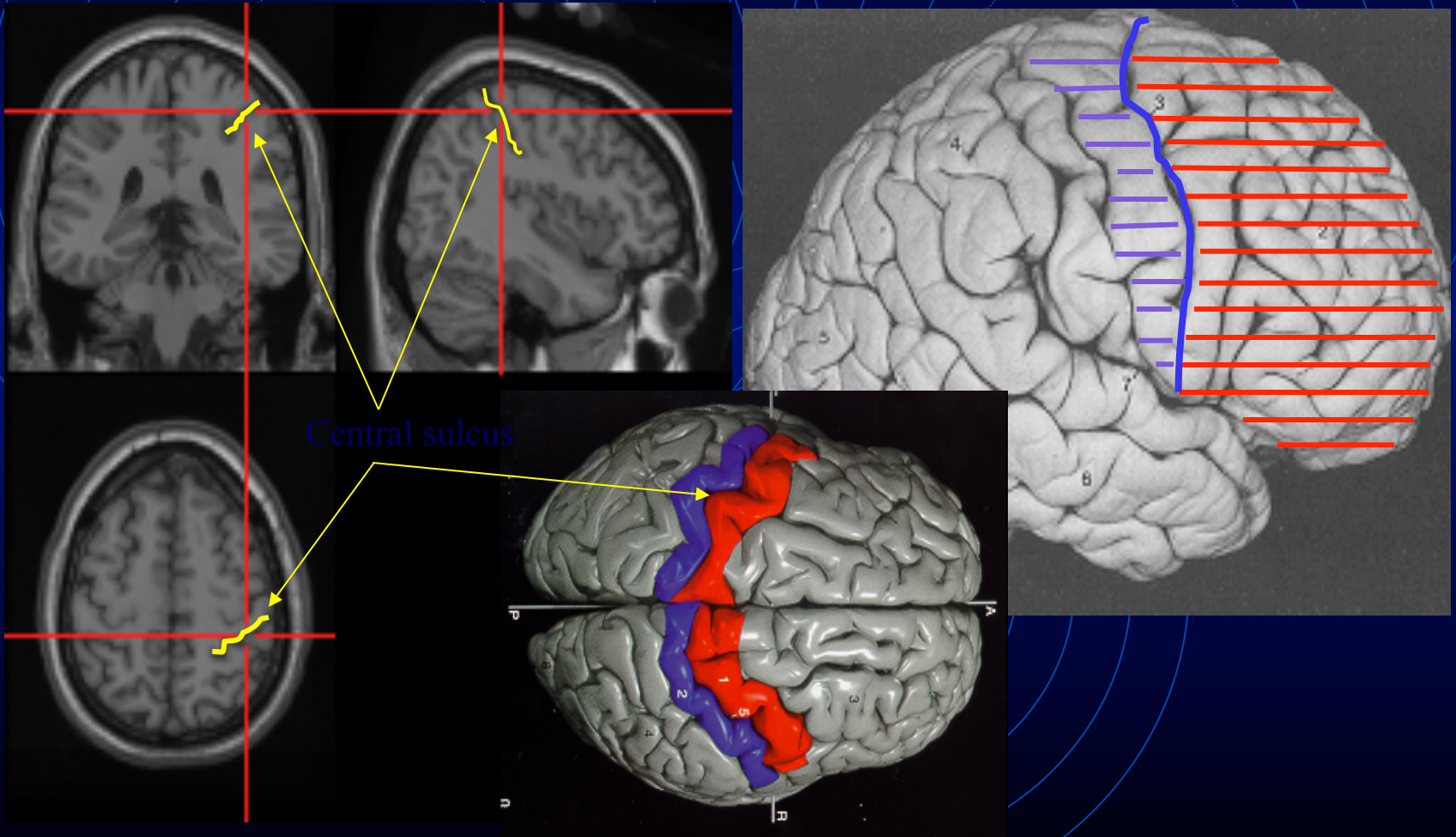
Human

# Maturation of the Frontal Lobes



Lenroot and Giedd (2006) *Neuroscience and Biobehavioural Reviews*

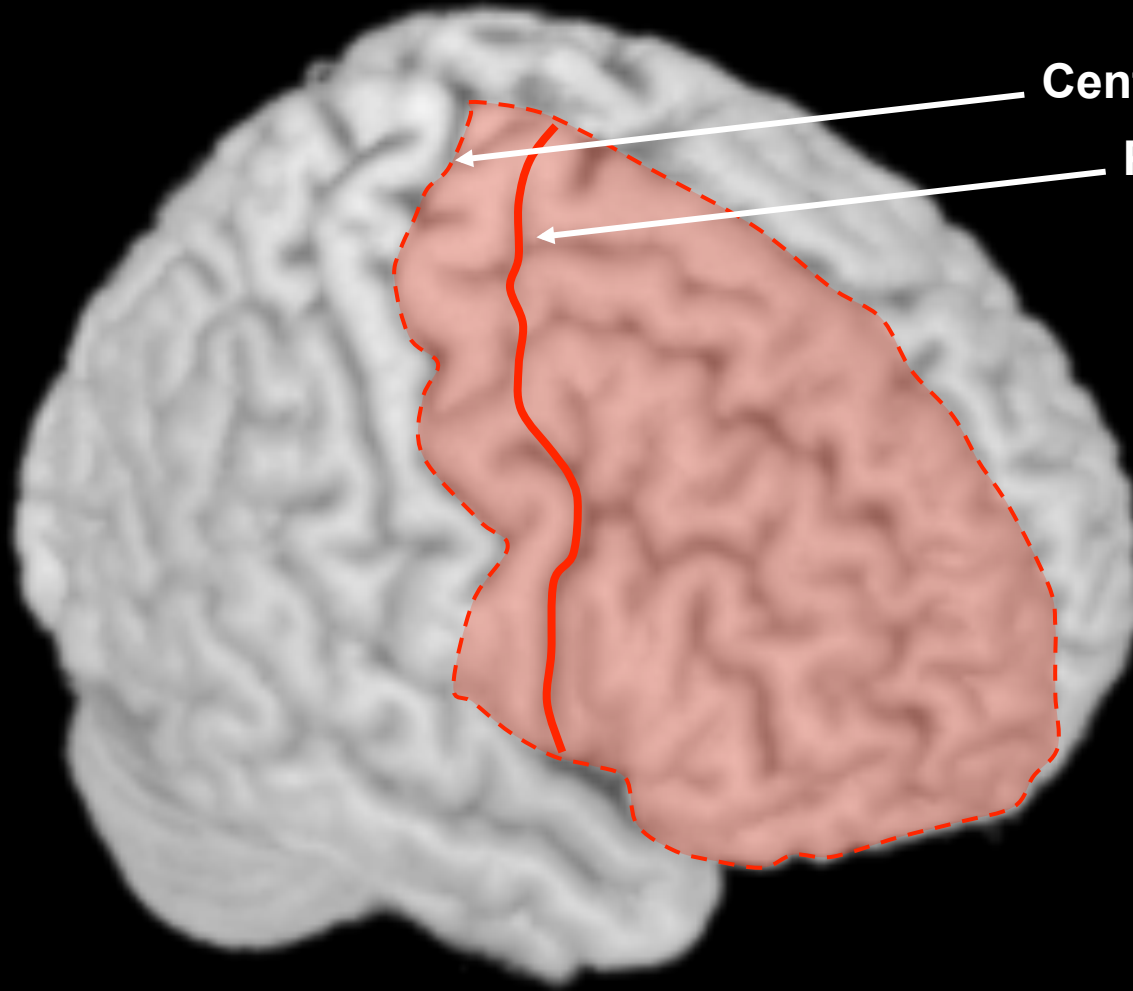
# The Frontal Lobes: Gross Anatomy



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# The Frontal Lobes: Gross Anatomy



**Central Sulcus**

**Precentral Sulcus**

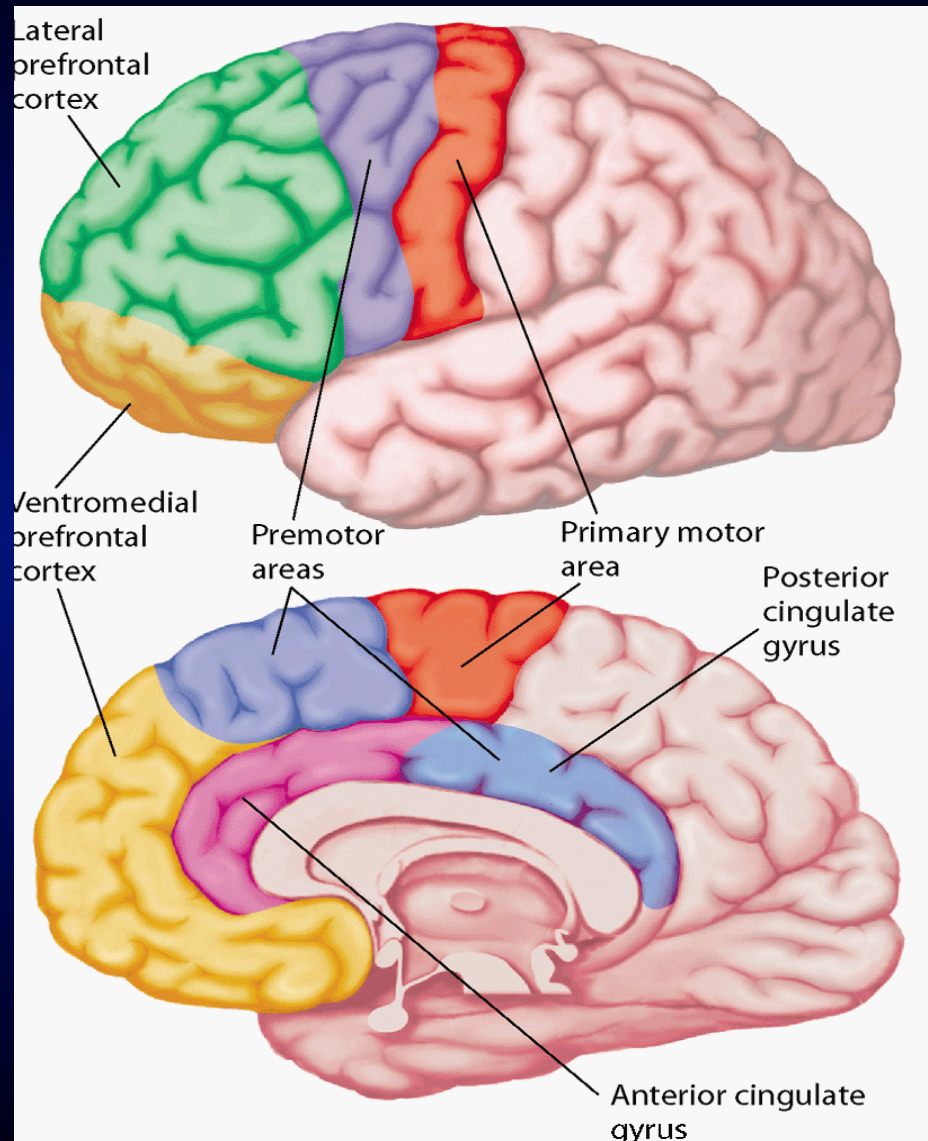
The central sulcus divides the frontal lobe from the parietal lobe.

The precentral sulcus divides the rest of the frontal lobes from the 'motor strip'.

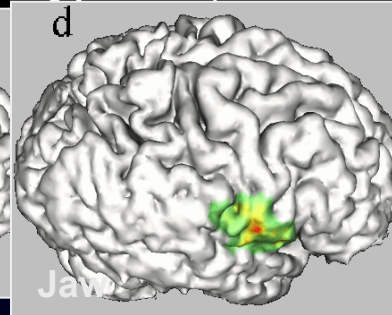
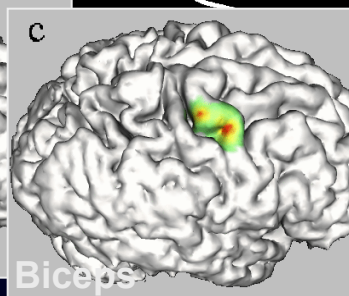
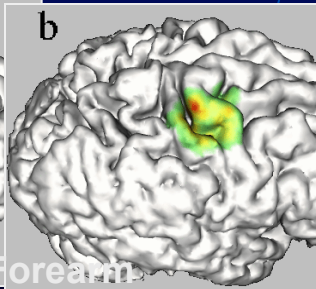
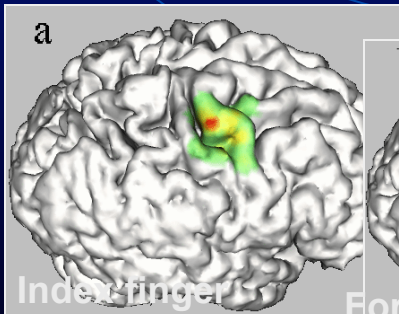
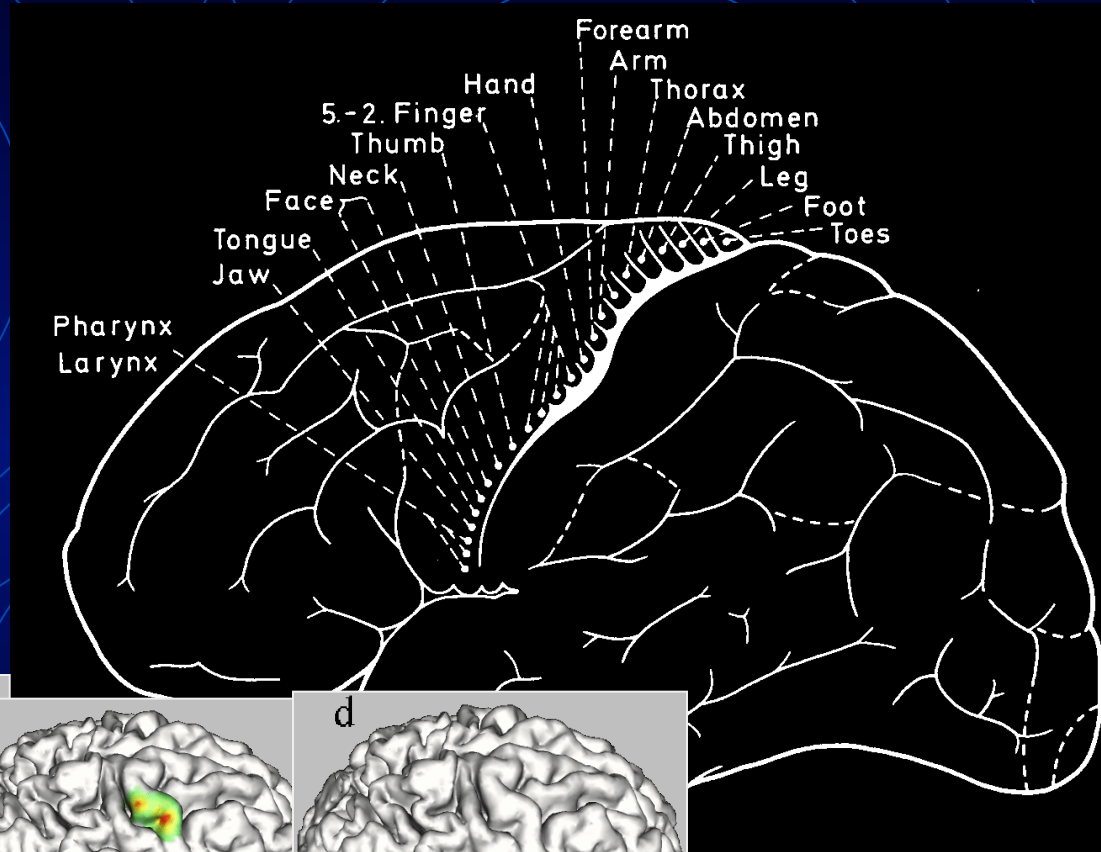
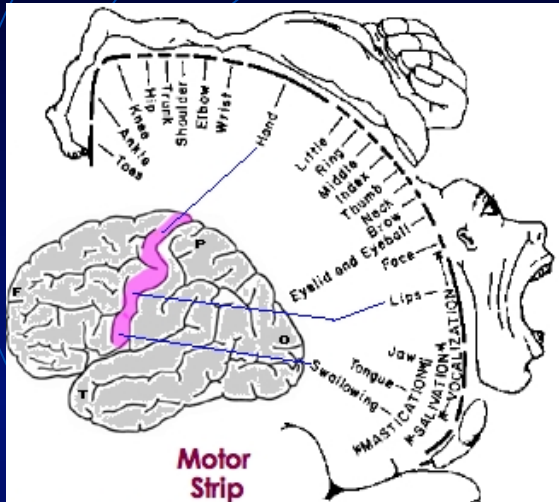


# The Frontal Lobes

- Extends from the central sulcus anteriorly
- Ventrally along the medial and lateral surfaces



# Primary Motor Cortex



TMS motor response amplitude maps for four muscles. Green indicates small response. Red corresponds to the largest response.

# Cortical Motor System

## Connections of the Motor Cortex

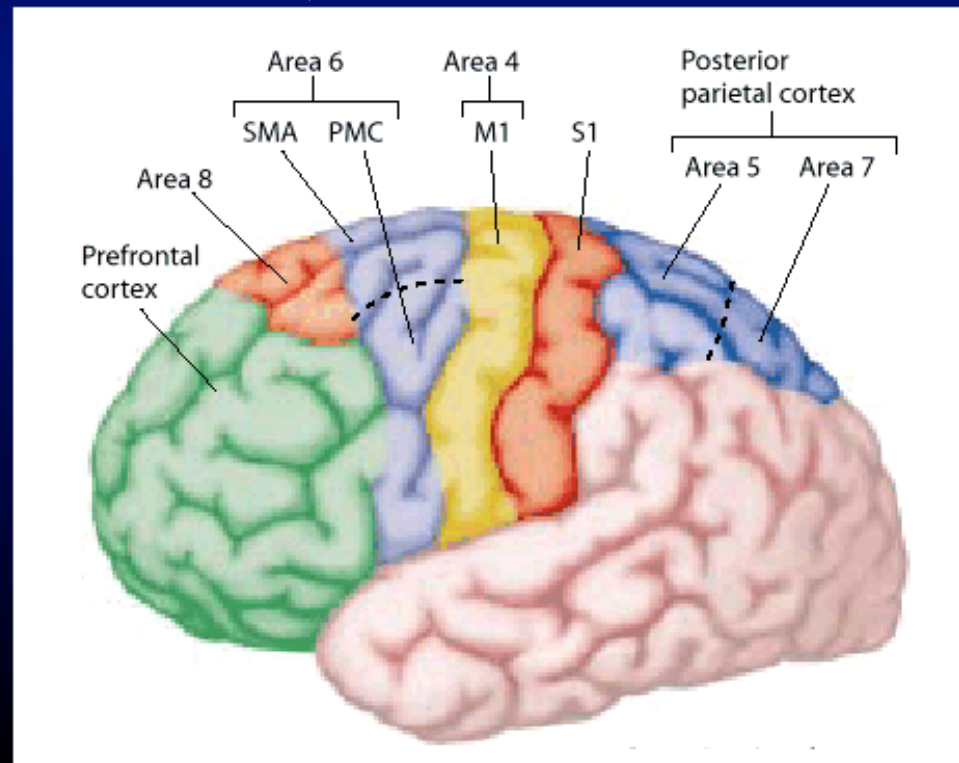
- Spinal motoneurons to control limb, hand, finger, trunk and facial movements
- Subcortical areas also concerned with motor movements-basal ganglia and cerebellum
- Receives input from prefrontal cortex and cingulate cortex

# Cortical Motor System

## Pre-motor cortex

### *Movement planning/sequencing*

- Many projections to M1
- involved in the intention or preparation to move; neurons in this area discharge before, rather than during, a movement
- Stimulation => more complex mov't
- Two distinct somatotopically organized subregions
  - **SMA** (dorso-medial)
    - May be more involved in internally generated movement
  - **Lateral pre-motor**
    - May be more involved in externally guided movement



# Disturbance of Motor Function

## Primary Motor

pronounced weakness in affected body parts

Stimulation => simple movements

## Premotor

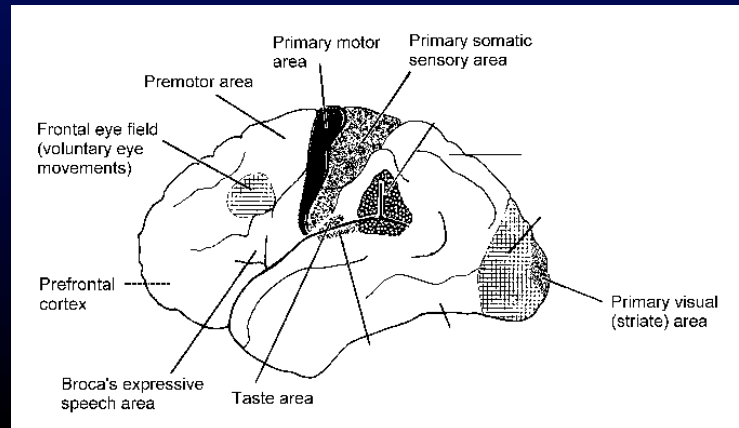
lesions do not produce paralysis  
more complex motor deficits

Stimulation => complex movement

# Cortical Motor System

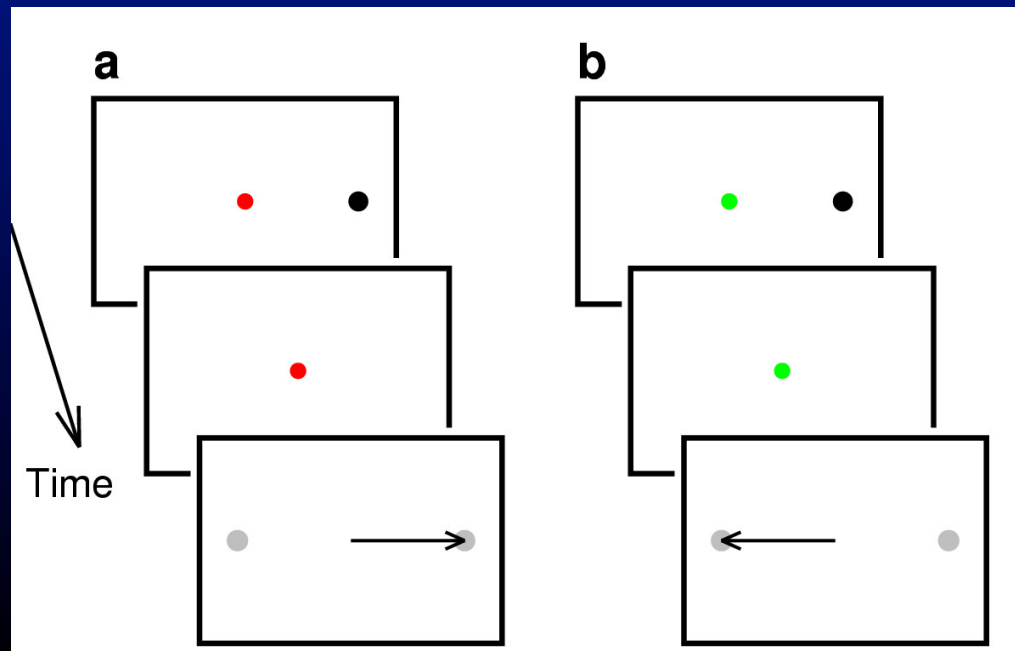
## Connections of the Premotor Cortex

- Directly to motoneurons and also to motor cortex;
- Receives projections from posterior parietal areas 5 and 7
- Frontal eye fields (FEF: 8 and 8a) reciprocal projections



# Cortical Motor System

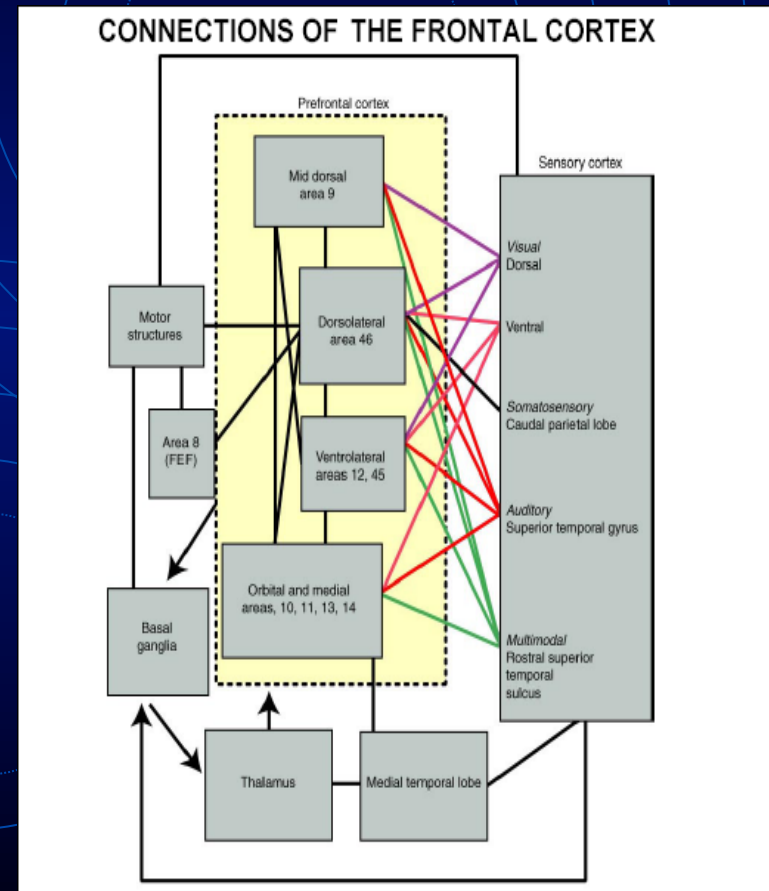
- frontal eye fields and supplementary eye fields play an important role in initiating purposive eye movements and directing attention
- Damage results in disruption of purposeful eye movement



# 'All neural roads eventually lead to the frontal lobes'

Kolb & Whishaw (2009)

- A massive network - prefrontal cortex is the most highly interconnected of all cortical regions
- Interconnects:
  - motor, perceptual, and limbic regions
  - parietal and temporal cortex
- Subcortical structures (e.g., brainstem, basal ganglia, cerebellum) project indirectly to PFC via thalamus
- In a perfect position to **coordinate**





# Prefrontal cortex – the top of the hierarchy

- Executive function – flexible, goal-directed behaviour in response to internal and external cues

+ Planning

+ Managing Delay

+ Contingencies and Rules

+ Filtering

+ Flexibility

Goal-oriented behaviour – formulate, monitor, adapt

# Assessment of Frontal Lobes

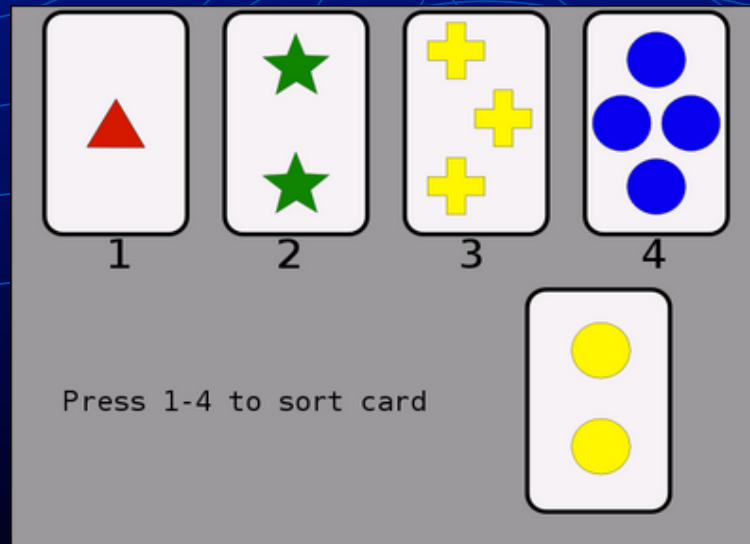
- Most complex aspect of neuropsychological assessment
- Surprisingly few sensitive tests available
- Isolated cognitive functions may be unimpaired
- How to measure self-regulation?
- Ecological validity ?
- Lack of insight

# Neuropsychological Assessment

Convergent vs divergent thinking

Wisconsin Card Sorting Task = reactive flexibility

Alternate Uses, Fluency tests = spontaneous flexibility

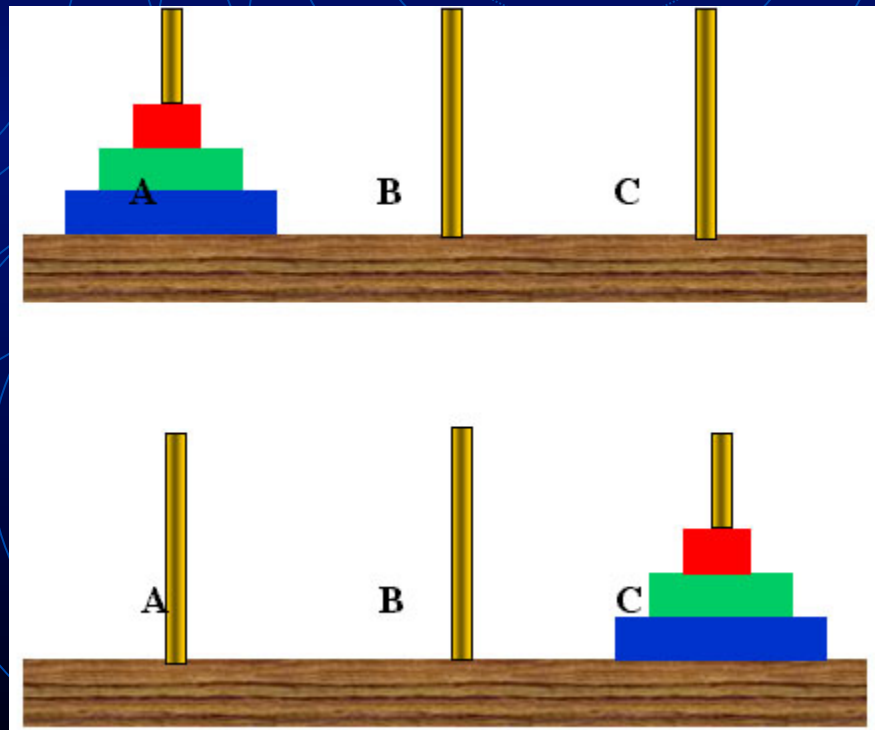


Work out the sorting category according to examiner's feedback

- Stroop task (response inhibition)

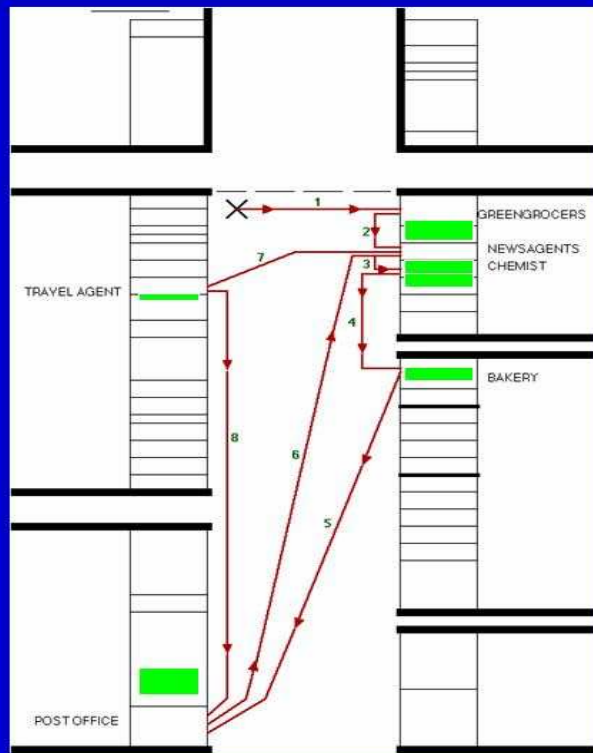
**BLUE GREEN**

- Tower tasks (planning)

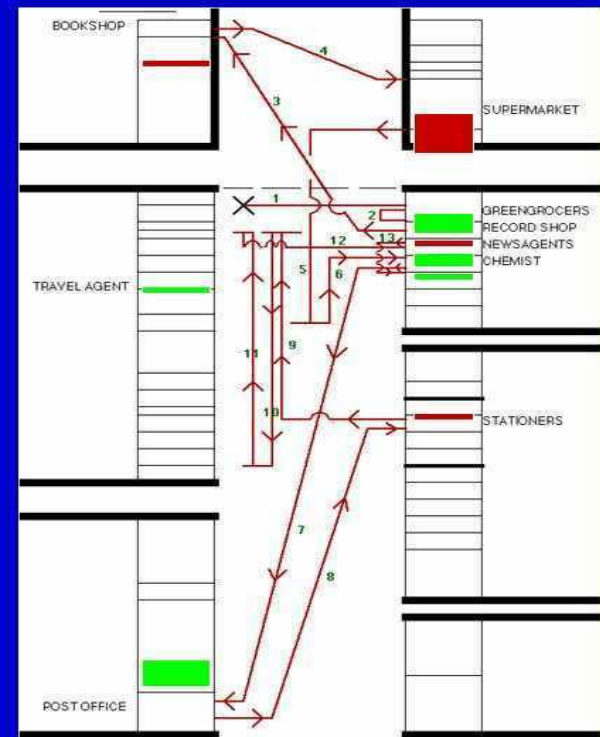


- **Multiple Errands Tests (Shallice & Burgess, 1991):**
  - **Strategy Formation**
  - **6 errands, prospective task, incidental information, rules**
  - **NB Novelty**

Multiple Errands Test (MET): Typical Healthy Control

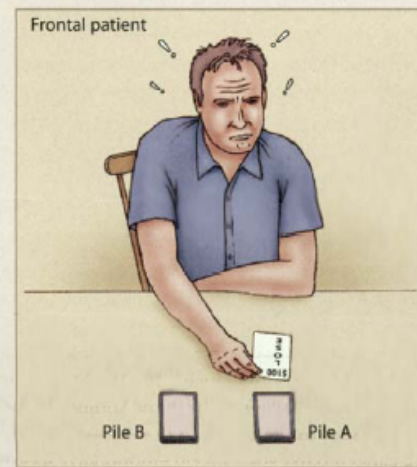
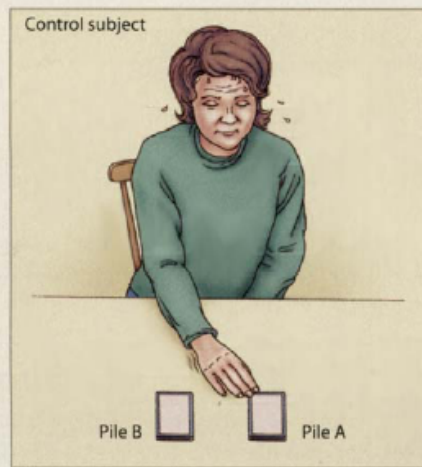
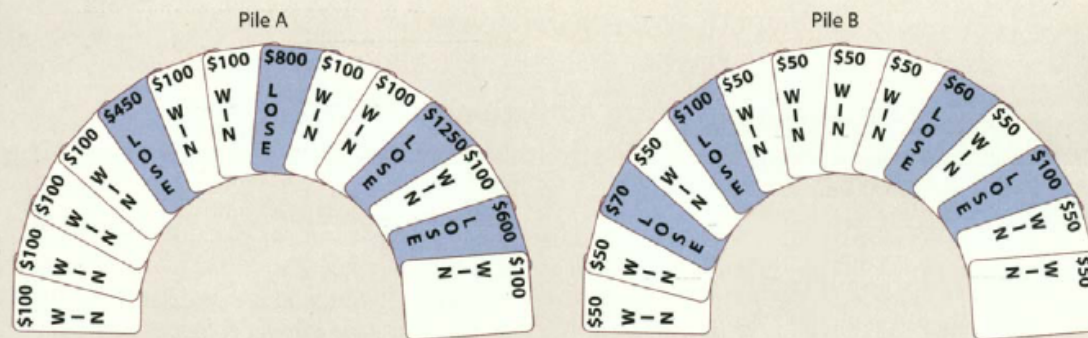


Performance of Patient with Frontal Lobe Damage

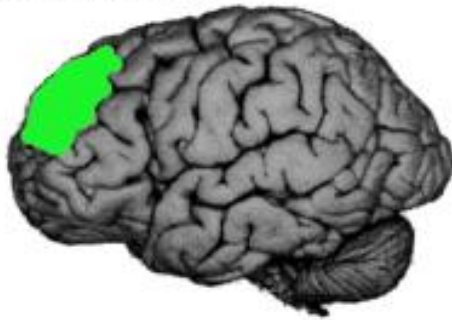


# Damasio Gambling Task – Risk Taking

## EMOTIONAL DECISION. The somatic marker hypothesis



**Dorsolateral**



## **Dorsolateral Prefrontal cortex:**

Working memory, filtering & interference control, sustained attention.

**Orbitofrontal**

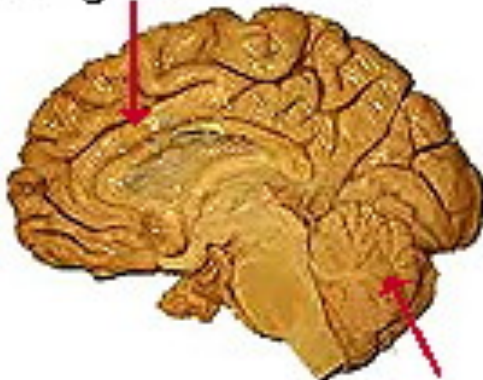


## **Ventromedial and orbitofrontal cortex:**

Inhibitory control, planning, social guidance and decision making

**Ventromedial**

**Cingulate Cortex**



## **Anterior Cingulate:**

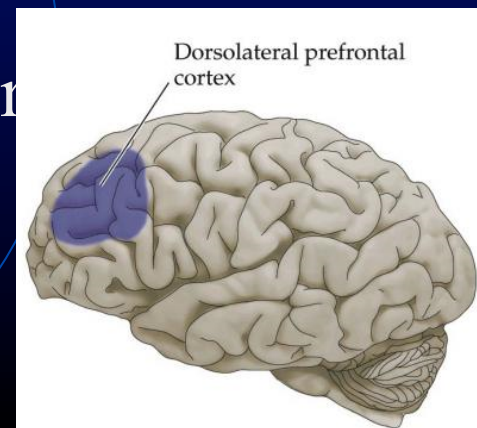
An executive attention system that responds to task difficulty, novelty, error detection and correction and overcoming habitual responding.

**Cerebellum**

# Dorsolateral Prefrontal Cortex (DLPFC)

Broadman areas 9-12, 45-47

- Difficulty in shifts in attention and behavior;
- Rigidity or stereotypy of behaviour
- Impairment of temporal memory
- Inability to dissociate one's self from immediate surroundings
- Loss of foresight and planning
- Inability to sustain goal-directed behaviour  
absentmindedness
- Lack of drive





# Dorsolateral Prefrontal Cortex

“some form of temporary storage of information is necessary for performing a wide range of cognitive skills including comprehension, learning and reasoning” (Baddeley)

A set of instruction such as:

“Align the screw hole on the metal plate with the corner hole on the baseplate and insert the 2 cm screw through the two holes, ensuring that the nut is on the underside of the baseplate”

Good example of a set of behavioural goals which must be kept active simultaneously in working memory

Most normal people find this very difficult to do

DLPFC seems critically important for working memory.

# DLPFC and Working Memory

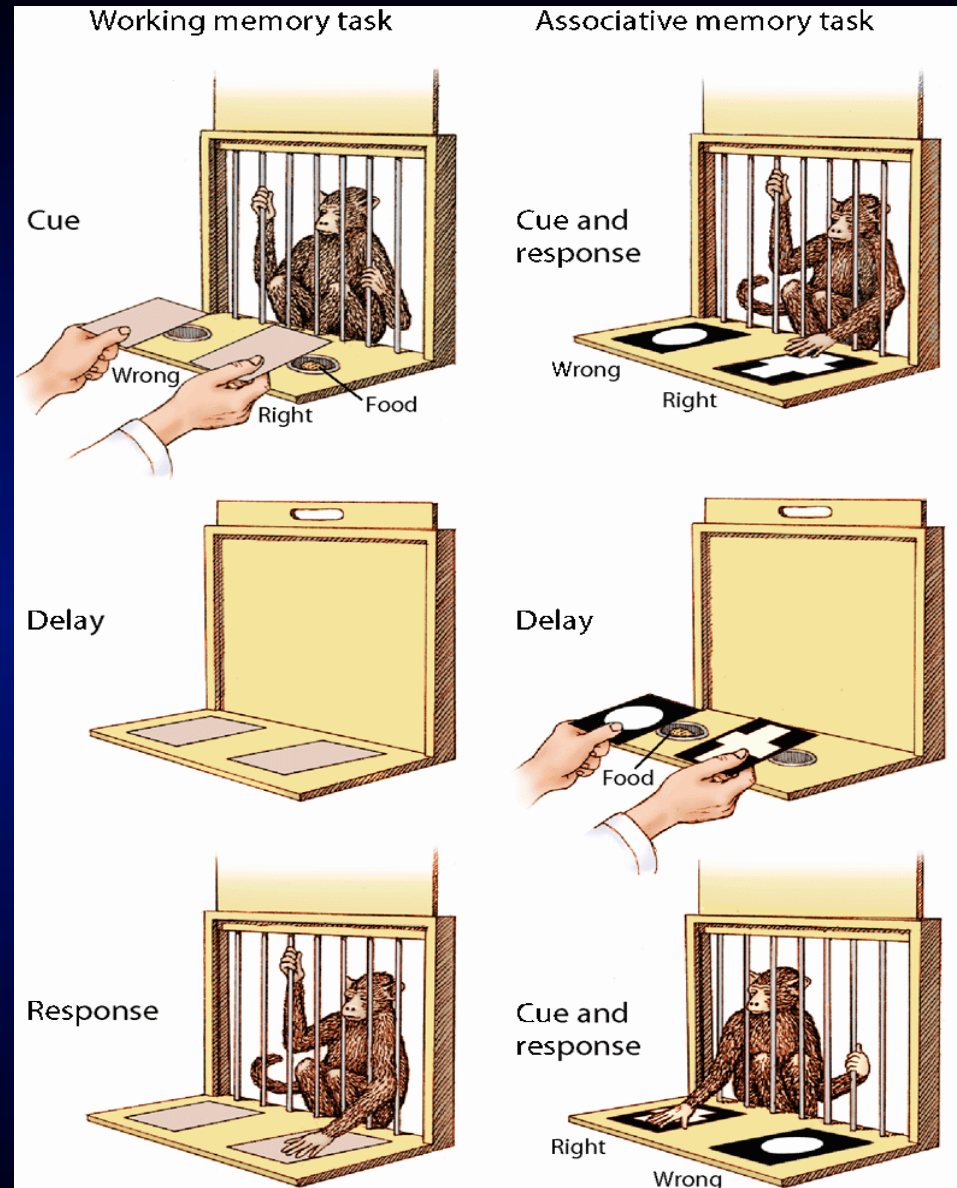
- A representational system that may be used to guide behavior
- Transient representations of task-relevant information
- Long-term memory representations may be activated in short-term memory to constrain and shape behavior in the present
- Integration of current perceptual information with stored knowledge
- Flexible vs stimulus-driven behaviour

*'The blackboard of the mind'* Goldman-Rakic

# Working memory vs associative memory and the Delayed Response Task (Goldman-Rakic 1992)

Associative and recognition memory are not affected by DLPFC lesions

Human equivalent – perseveration on Wisconsin Card Sorting Task



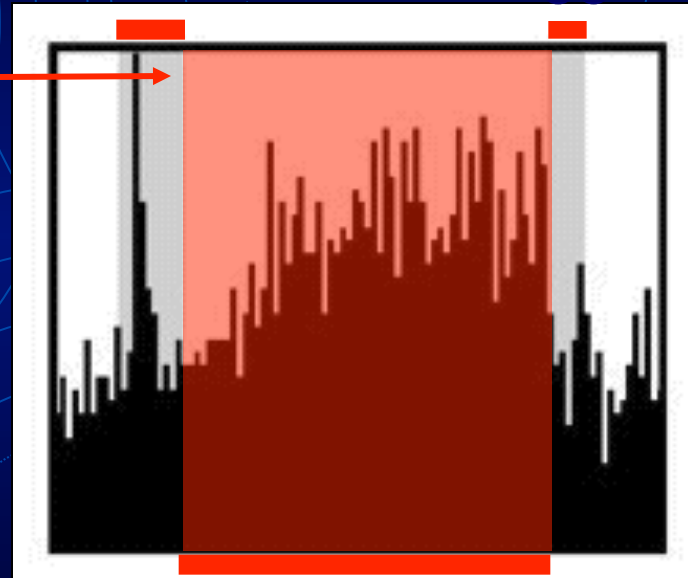
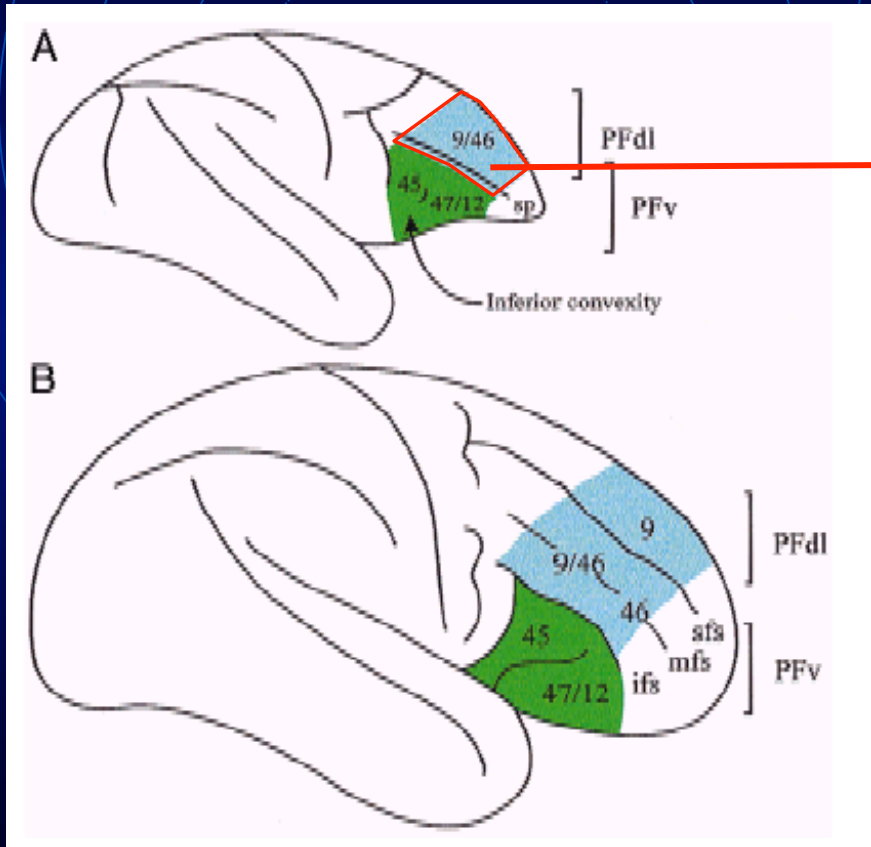
# Working Memory

- Performance on WM Task suggests two functions of DLPFC
  - Access of stored information
  - Active maintenance of information
- Single cell recording in monkeys indicates its role in information maintenance

# Working Memory

Neuronal activity...

sub-induce



‘Working memory’ delay

(Constantinides, et. al. J. Neurosci. (2001), 21:3646-3655)

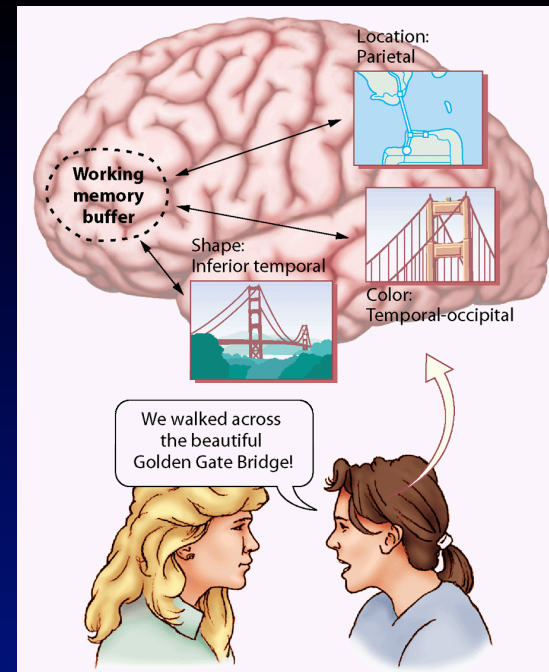
Delayed response tasks activate ‘memory fields’ in the prefrontal cortex.

# Selectivity of Prefrontal Cells

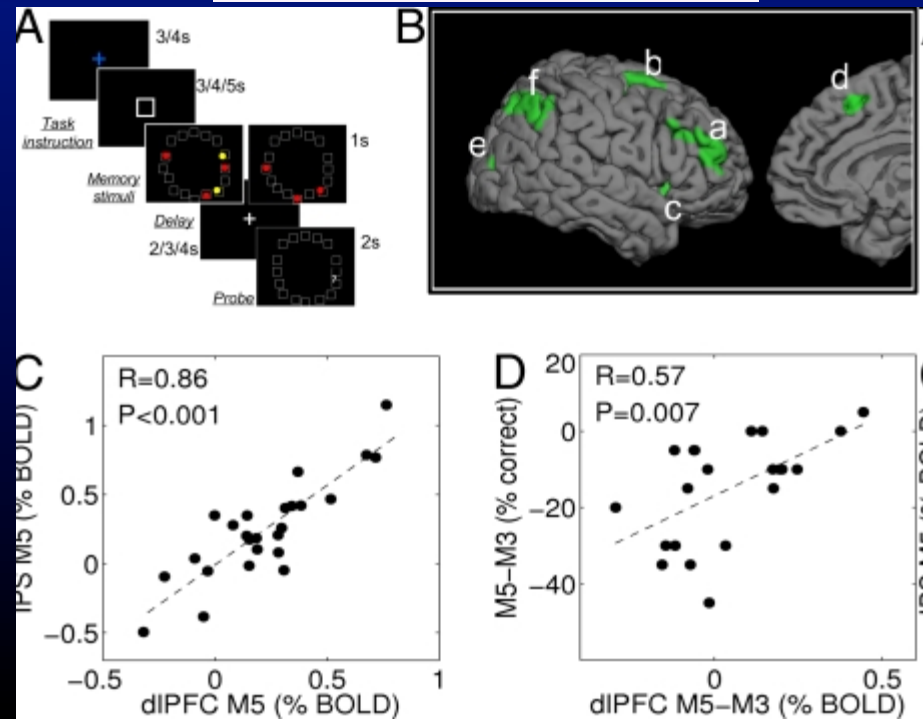
- What, where and what/where cells (Rao et al, 1997)
- Stimulus type (Fuster et al 2000)

Unlike in earlier visual areas, if task contingencies change, frontal cells change their firing patterns to a new set of stimuli

PFC's role in working memory may be as a buffer for activated long-term memories



Klingberg et al (2009)  
DLPFC boosts spatial-  
working memory  
capacity in parietal  
regions



# Other Frontal Memory Problems

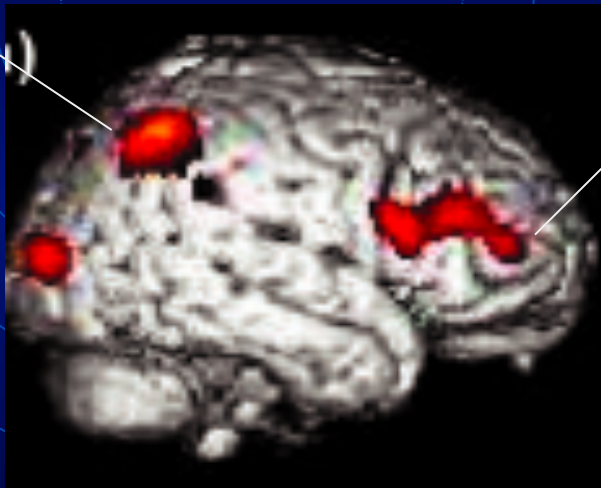
- Recency memory
- Source memory

Common theme: temporal memory

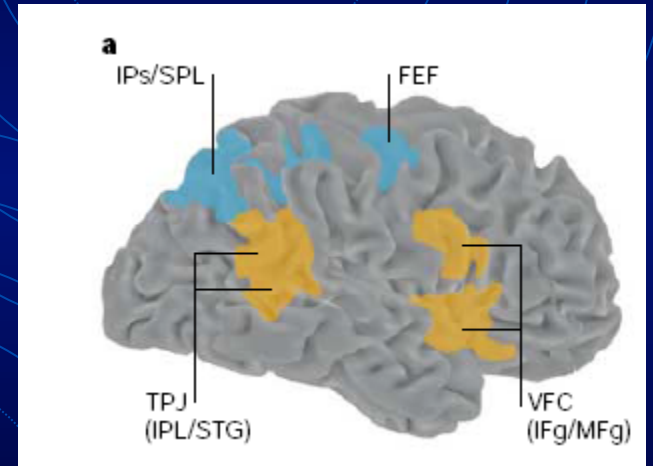


# DLPFC and Dynamic Filtering - Attention

Right parietal



DLPFC

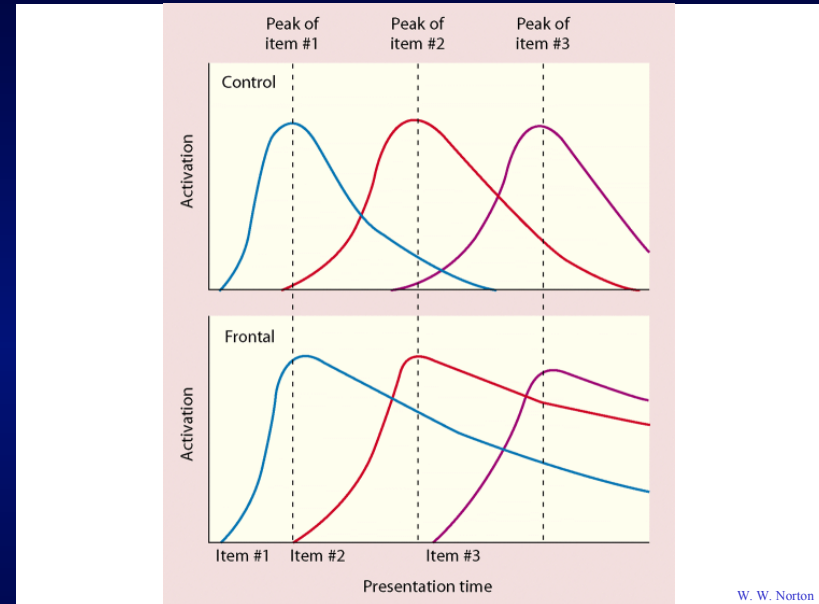


Manly, Robertson et al., 2003

Corbetta and Shulman, 2002

# DLPFC and Dynamic Filtering - Inhibition

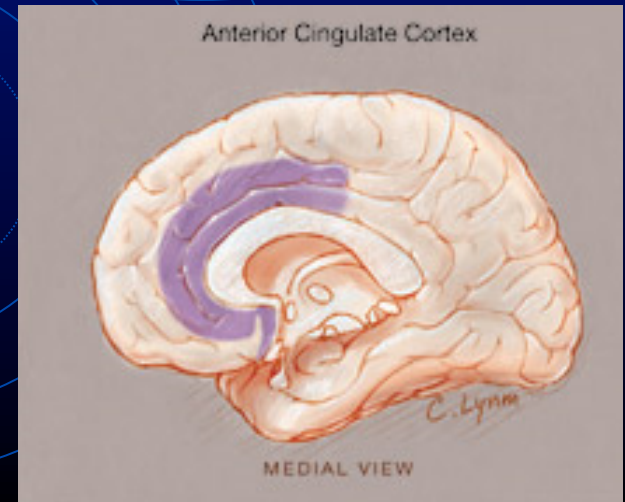
Series of items for a recency-memory task



- PFC may hasten decay processes by inhibiting activation of no-longer-relevant information

# Clinical characteristics of Anterior Cingulate damage

- Decreased spontaneity; decreased productivity; decreased initiative
- Lack of ambition and drive;
- “pathological inertia”
- Lack of follow-through on plans



## ACC and Attention/Executive Control

- Key role in coordinating activity across attention systems
- Numerous studies show activation of the anterior cingulate in tasks requiring the resolution of response conflict (e.g., Stroop tasks, divided attention).
- Modulates activity within networks subserving Working Memory
- Interaction with Lateral PFC to implement adjustments
- Key region for flexibility

## Required function of the SAS

## Evidence indicating function related to anterior cingulate

Difficult situations

Blood flow increases during divided attention studies in comparison to focused attention studies

Novel situations

Blood flow increases during word generation task in comparison to word repeat task

Error correction

Evoked potential studies

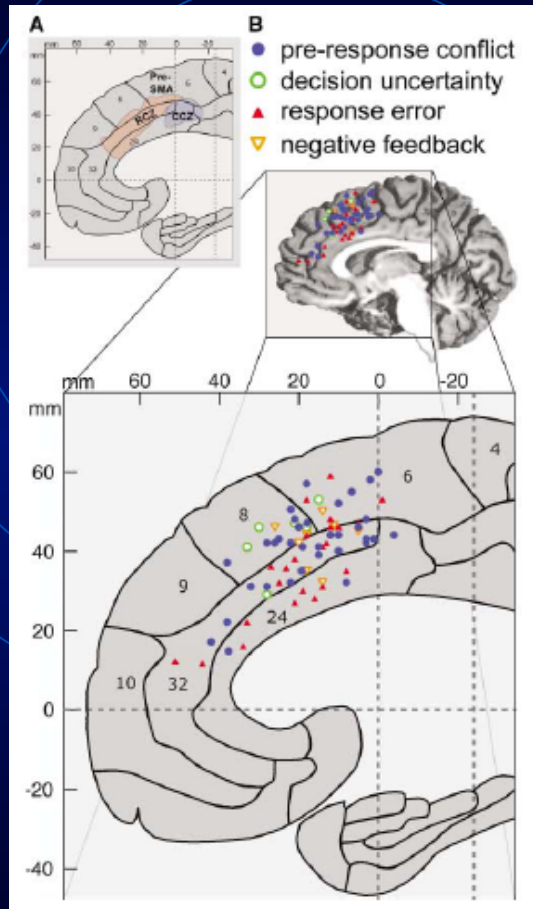
Overcoming habitual responses

Blood flow increases during incongruent Stroop trial in comparison to congruent Stroop trials

# Models of ACC function

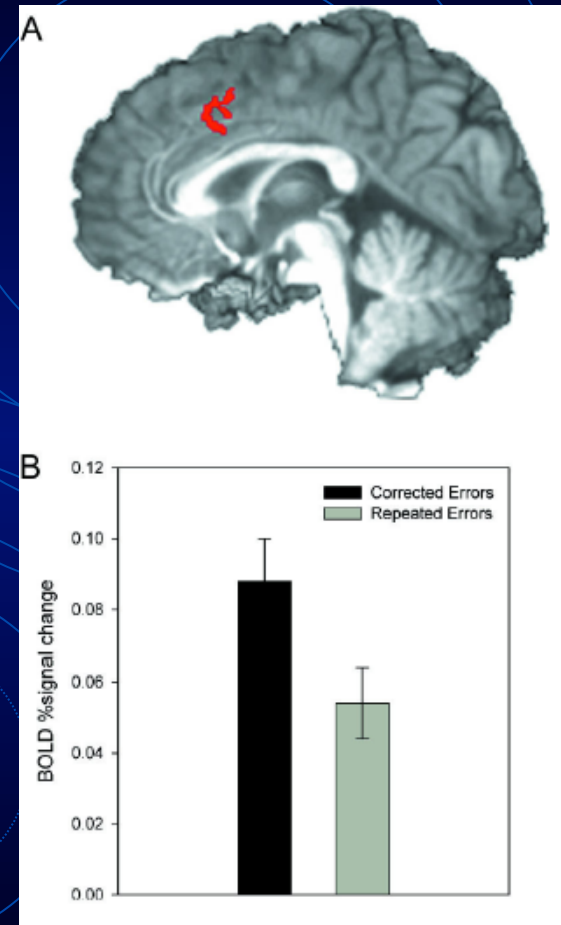
- Conflict Resolution (Cohen et al)
- Reward Anticipation (Ridderinkhof et al)

# Models of ACC function



**ACC task activations**

Ridderinkhof et al (2004) *Science*



**Learning from Errors**

Hester et al (2009) *J Neurosci*

# But...

doi:10.1093/brain/awh405

*Brain* (2005), 128, 788–796

## Is anterior cingulate cortex necessary for cognitive control?

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*Correspondence to: Lesley Fellows, MD, DPhil, Present address: Montreal Neurological Institute, Room 276, 3801 University Street, Montreal, QC H3A 2B4, Canada  
E-mail: lesley.fellows@mcgill.ca*

### Summary

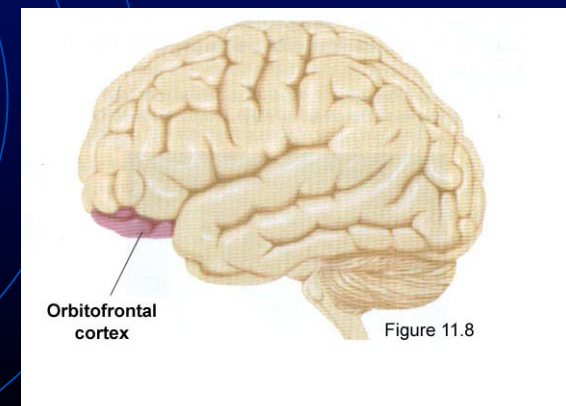
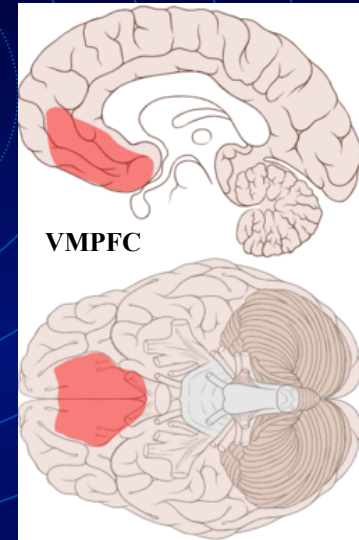
Functional neuroimaging studies in normal humans suggest that dorsal anterior cingulate cortex (dACC) plays an important role in cognitive control. This brain area is reliably activated when tasks require the ongoing adjustment of the allocation of attention. The dACC has come to occupy a central role in theories of attention and cognitive control, which hold that dACC either monitors response conflict, signalling the need for adjustments in cognitive processes, or directly mediates such adjustments. However, functional imaging results cannot establish that a brain area is necessary for a particular cognitive process. This requires evidence from loss-of-function studies. Here we assessed cognitive control in

four human subjects with damage to dACC and 12 age- and education-matched control subjects using several measures drawn from the functional imaging literature. All four subjects with dACC damage showed normal adjustments in performance following manipulation of response conflict in both Stroop and go–no go tasks. Furthermore, damage to the dACC did not impair the phenomenon of post-error slowing, nor alter the ability to adjust performance in response to explicit speed or accuracy instructions. Thus, cognitive control, as assessed by four different measures in two different tasks, appears to be intact in these subjects, arguing against a necessary role for dACC in this process.



# Clinical characteristics of Ventromedial/ Orbitofrontal damage

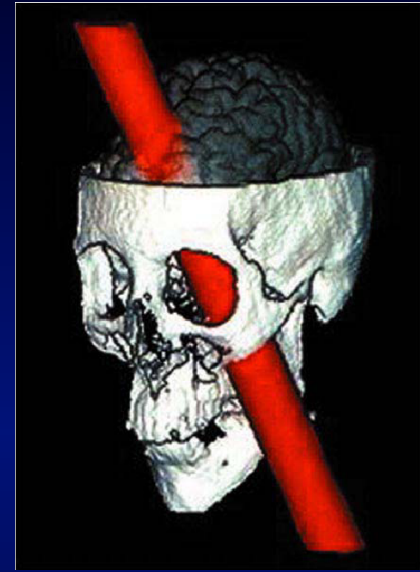
- Normal intellectual abilities, normal long-term memory and Working memory
  - Disinhibition, impulsivity;
  - Inability to hold back responses
  - Imitation and utilization behaviours
  - Lack of awareness of effect of own behaviour on others;
  - Lack of self-criticism with euphoria and self-satisfaction
  - Reduced emotional risk perception
  - Acquired sociopathy
  - Abnormal sexual behaviour



# Social guidance and decision making

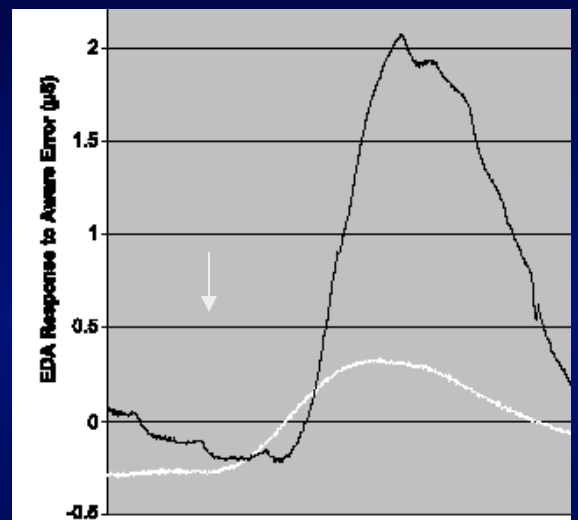
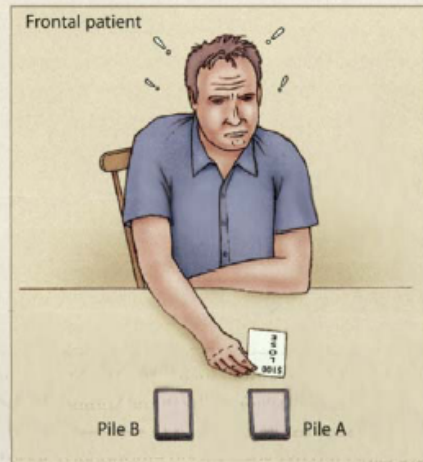
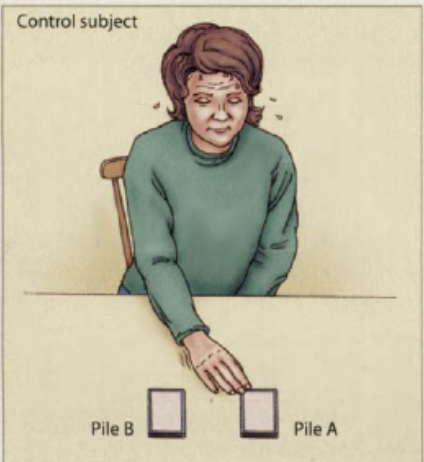
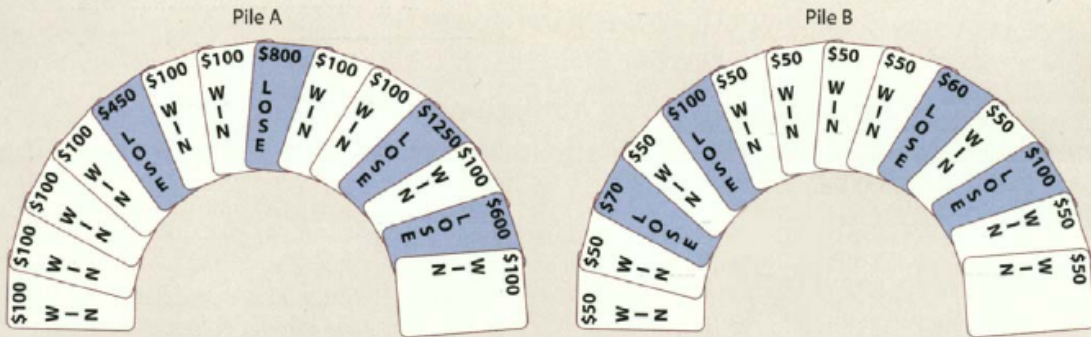
Distinction between decision making ‘in the abstract’ and decision making about personal and social involvement e.g. Elliot (Damasio, 1994)

- Includes the capacity to formulate goals
- To plan and organise goal-directed behaviour
- To carry out such behaviour fully and effectively
- To emotionally evaluate and correct behaviour
- Sensitivity to contextual cues



# Damasio's Somatic Marker Hypothesis

## EMOTIONAL DECISION. The somatic marker hypothesis



O'Keefe et al, 2004

# Damasio's Somatic Marker Hypothesis

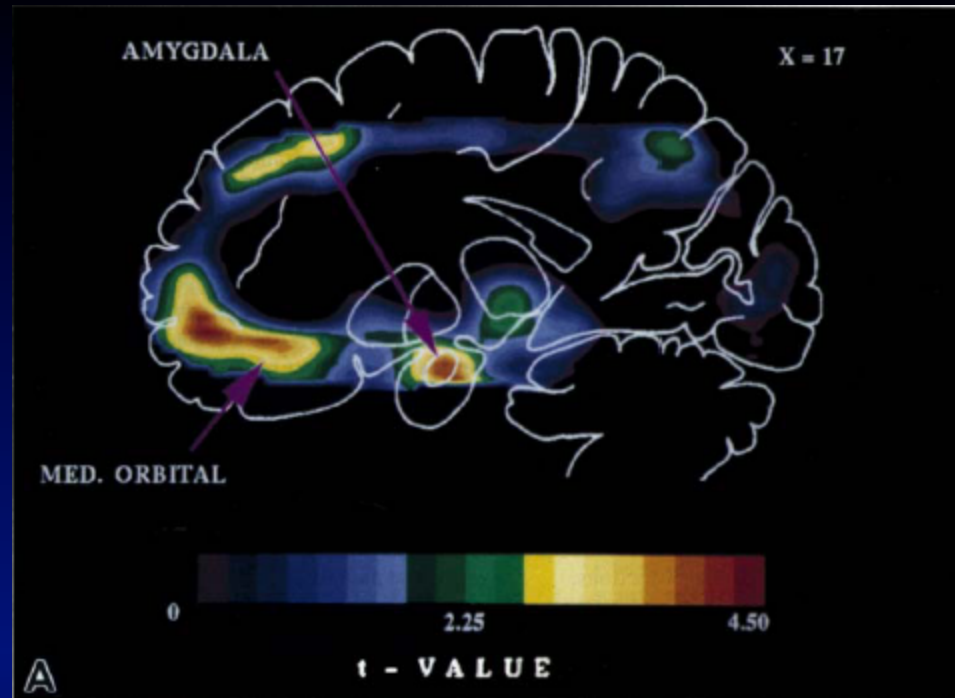
Mechanism to sort through options and assess their potential benefit quickly and efficiently

Somatic markers: bodily sensations that are linked to cognitive processes (“gut feeling”) guide decision making

## Chicken and Egg Problem

Alternative viewpoint: Rolls (1999) role of the OFC is to rapidly evaluate the reinforcement properties of a stimulus

e.g. poor reversal learning



Connections between the orbitofrontal areas and the limbic system (particular the amygdala) may be important in the formation of somatic markers.

When a representation is activated by a stimulus, connections between the amygdala and prefrontal cortex activate somatic markers to guide appropriate decisions.

# Summary

Associate goals with perceptual info and long-term memory

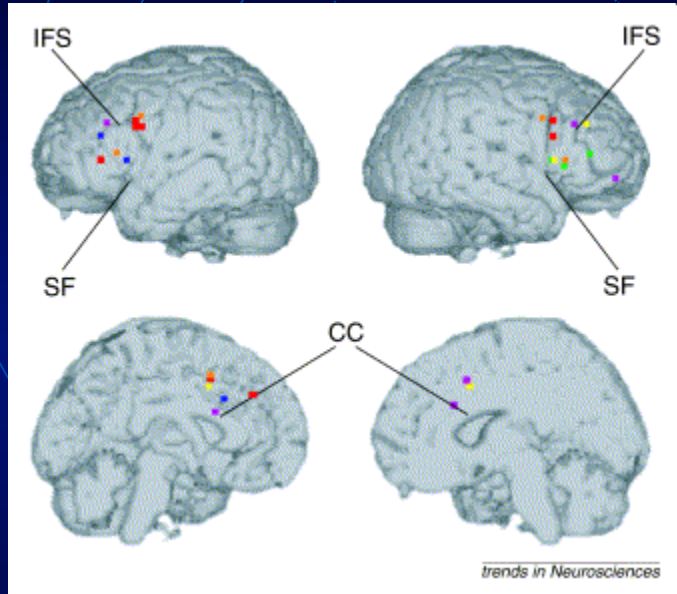
-DLPFC

Monitor performance, flexibility, adapt to changing contingencies

-ACC

Integration of personal desires; inhibit, evaluate and act on social and emotional information

- OFC/VMPFC



**Regional specificity exists in the frontal lobes but.....**

**Functional imaging studies indicate that certain prefrontal regions are simultaneously activated by an extremely diverse range of cognitive problems (Duncan and Owen (2000))**

# Reading

- Gazzaniga et al, Chapters 11-13
- Kolb and Whishaw, Chapter 16
- Halligan et al, Handbook of Clinical Neuropsychology: Chapter 17: Assessment of Executive Function
- Ridderinkhof KR, Ullsperger M, Crone EA, Nieuwenhuis S (2004) The role of the medial frontal cortex in cognitive control. *Science* 306:443-447.
- Duncan J & Owen AM (2000). Common origins of the human frontal lobe recruited by diverse cognitive demands. *Trends in Neuroscience* 23:475-483